



Sheet 1 of 4

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>POLY-1193</b>	Serial No. <b>09/898,152</b>
		Applicant <b>Michael Wen-Chen Yang, et al.</b>	
		Filing Date <b>July 3, 2001</b>	Group <b>1752</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
GH	GI	Derwent World Patents Index, Accession No. 9272529, Derwent Information, Ltd., English abstract of <b>DE 41 17 127 A1</b> , November 26, 1992	
GH	GJ	Derwent World Patents Index, Accession No. 9624167, Derwent Information, Ltd., English abstract of <b>JP 05-230408 A2</b> , September 7, 1993	
GH	GK	Derwent World Patents Index, Accession No. 8890328, Derwent Information, Ltd., English abstract of <b>EP 0 465 949 A2</b> , January 15, 1992	
GH	GL	RN 131-55-5 of Registry Online, American Chemical Society, <b>1997</b>	
GH	GM	Derwent World Patents Index, Accession No. 10948619, Derwent Information, Ltd., English abstract of <b>JP 63-109052</b> , May 13, 1988	
EXAMINER		DATE CONSIDERED	
		7-29-02	

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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>POLY-1193</b>	Serial No. 09/891,157
	Applicant <b>Michael Wen-Chen Yang, et al.</b>	
	Filing Date <b>July 3, 2001</b>	Group <b>1752</b>

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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
GH	GN	1,736,914	11/26/29	Huebner	430	300
GH	GO	2,111,914	03/22/38	Kohlberger	430	22
GH	GP	3,265,765	08/09/66	Holden et al.	260	876
GH	GQ	3,867,153	02/18/75	MacLachlan	96	79
GH	GR	4,264,705	04/28/81	Allen	430	271
GH	GS	4,265,986	05/05/81	Allen et al.	430	18
GH	GT	4,320,188	03/16/82	Heinz et al.	430	281
GH	GU	4,323,636	04/06/82	Chen	430	271
CH	GV	4,323,637	04/06/82	Chen et al.	430	271
GH	GW	4,369,246	01/18/83	Chen et al.	430	306
GH	GX	4,423,135	12/27/83	Chen et al.	430	271
GH	GY	4,427,759	01/24/84	Gruetzmacher et al.	430	273
GH	GZ	4,460,675	07/17/84	Gruetzmacher et al.	430	300
GH	HA	4,622,088	11/11/86	Min	156	244.11
GH	HB	5,135,827	08/04/92	Bohm et al.	430	30
GH	HC	5,223,375	06/29/93	Berrier et al.	430	281
GH	HD	4,211,834	07/08/80	Lapadula et al.	430	326
EXAMINER <i>Cyrellus Hamilton</i>				DATE CONSIDERED 7-29-02		



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List of Patent and Publications  
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U.S. Department of Commerce  
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Docket No.  
**POLY-1193**

Serial No.  
**09/898,152**

Applicant  
**Michael Wen-Chen Yang, et al.**

Filing Date  
**July 3, 2001**

Group  
**1752**

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Examiner Initial		Document No.	Date	Name	Class	Subclass
GH	HE	4,410,562	10/18/83	Nemoto et al.	427	54.1
GH	HF	4,429,027	01/31/84	Chambers, Jr. et al.	430	5
GH	HG	4,927,740	05/22/90	Wallbillich et al.	430	309
GH	HH	5,752,445	05/19/98	Ruggiero et al.	101	486
GH	HI	5,846,691	12/08/98	Cusdin et al.	430	300
GH	HJ	5,439,328	08/08/95	Haggerty et al.	408	1 R
GH	HK	5,562,039	10/08/96	Fox et al.	101	486
GH	HL	5,925,500	07/20/99	Yang et al.	430	300
GH	HM	4,132,168	1/2/79	Peterson	101	471
GH	HN	5,506,086	4/9/96	Van Zoeren	430	201
GH	HO	5,495,803	03/05/96	Gerber, et al.	101	401.1
GH	HP	4,785,733	11/22/88	Hartung et al.	101	181
GH	HQ	6,020,108	02/2000	Goffing, et al.	430	306
GH	HR	5,821,028	10/1998	Maejima, et al.	430	201
GH	HS	5,719,009	02/1998	Fan	430	306
GH	HT	5,649,486	07/1997	Lewis	101	453
GH	HU	5,354,633	10/11/94	Lewis, et al.	430	5
GH	HV	5,429,909	07/04/95	Kaszczuk, et al.	430	273
EXAMINER					DATE CONSIDERED	7-29-02



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	Applicant <b>Michael Wen-Chen Yang et al.</b>	
	Filing Date <b>July 3, 2001</b>	Group <b>1752</b>

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
GH	HW	0 503 621 A1	09/16/92	EP		
GH	HX	0 776 763	06/04/97	EP		
GH	HY	WO 97/25206	07/17/97	PCT		
GH	HZ	WO 98/01792	01/15/98	PCT		
GH	IA	53-023705	03/04/78	Japan	X(Abstract)	
GH	IB	0 454 083 A2	10/30/91	EPO		
GH	IC	0 544 286 A1	06/02/93	EPO		
GH	ID	0 636 491 A1	02/01/95	EPO		
GH	IE	0 672 954 A2	09/20/95	EPO		
GH	IF	0 687 567 A2	12/20/95	EPO		
GH	IG	0 687 570 A1	12/20/95	EPO		
EXAMINER <i>Cynthia Hamilton</i>				DATE CONSIDERED <i>7-29-02</i>		



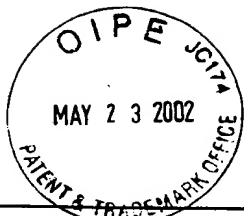
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>POLY-1193</b> (01-8480)	Serial No. <b>09/898,152</b>
		Applicant <b>Michael Wen-Chen Yang, et al.</b>	
		Filing Date <b>July 3, 2001</b>	Group <b>Not Yet Assigned</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>AA</b>	World Patents Index AN92-399940 Derwent Information, Ltd., English abstract of <b>DE 41 17 127 A1</b> (Bauer), November 26, 1992	
	<b>AB</b>	World Patents Index AN93-317716 Derwent Information, Ltd., English abstract of <b>JP 05-230408 A2</b> (Seiko Epson Corp.), September 7, 1993	
	<b>AC</b>	World Patents Index AN96-445569 Derwent Information, Ltd., English abstract of <b>JP 63-109052</b> (Cannon KK), May 13, 1988	
	<b>AD</b>	World Patents Index AN92-017597, Derwent Information, Ltd., English abstract of <b>EP 0 465 949A2</b> (Bauer et al.)	
<b>94</b>	<b>AE</b>	109:160645 from Chemical Abstracts, American Chemical Society, English Abstract of <b>JP 63-109052</b> (Horiike et al.)	
	<b>AF</b> <i>not</i>	<del>117:101041 from Chemical Abstracts, American Chemical Society, English Abstract of <b>EP 0 465 949A2</b> (Bauer et al.)</del>	
	<b>AG</b> <i>not</i>	<del>118:157929 from Chemical Abstracts, American Chemical Society, English Abstract of <b>DE 41 17 127 A1</b> (Bauer), November 6, 1992</del>	
	<b>AH</b> <i>N</i>	<del>119:273625 from Chemical Abstracts Online, American Chemical Society, English Abstract of <b>JP 05-230408 A2</b> (Yanase et al.), September 7, 1993, See IT 131-55-5, Uvinul D 50</del>	
<i>Duplicate Citation</i>	<b>AI</b>	<del>RN 131-55-5 of Registry Online, American Chemical Society, 1997</del>	
<b>94</b>	<b>AJ</b>	<del>63-109052, Patent Abstracts of Japan, 12(347), September 19, 1988, English abstract of <b>JP 63-109052</b> (Horiike et al.)</del> (fully considered)	
<i>already considered in Paper No 4.</i>	<b>AK</b>	<del>Patent Abstracts of Japan, vol. 8, no. 233 (P-309 26 October 1984 &amp; JP-A-59 111 608) (Hitachi Seisakusko KK), 27 August 1984</del>	
<i>duplicate citation</i>	<b>AL</b>	<del>Applied Physics Letters, vol. 24, no. 2, 15 January 1974, New York US, pages 72-74, E.A. Chandross "photolocking- A new technique for fabricating optical waveguide circuits"</del>	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b> <b>7-29-02</b>	



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## Form PTO-1449 Modified

List of Patent and Publications  
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U.S. Department of Commerce  
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**POLY-1193**  
(01-8480)

Serial No.  
**09/898,152**

Applicant  
**Michael Wen-Chen Yang, et al.**

Filing Date  
**July 3, 2001**

Group  
**Not Yet Assigned**

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

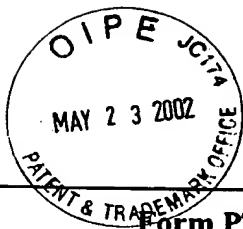
AM	Derwent English Language Abstract AN 78-28066A of JP 53-023705, March 1978
AN	Patent Abstracts of Japan, Vol. 10, No. 190 (P-474) of JP 61036750, February 1986
AO	Patent Abstracts of Japan, Vol. 10, No. 174 (M-490) (2230) of JP 61-24451, February 1986
AP	Bennett, H. (ed.), <i>Concise Chemical and Technical Dictionary</i> , Fourth Enlarged Edition, Chemical Publishing Co., Inc., New York, NY, 1986, pgs. 23, 653, and 1178
AQ	Tweney and Hughes (eds.), <i>Chambers's Technical Dictionary</i> , The Macmillan Company, New York, NY, 1948, pgs. 10, 447, and 875

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## Form PTO-1449 Modified

List of Patent and Publications  
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Docket No.  
POLY-1193  
(01-8480)

Serial No.  
09/898,152

Applicant  
Michael Wen-Chen Yang, et al.

Filing Date  
July 3, 2001

Group  
Not Yet Assigned

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	AR	5,262,275	11/16/93	Fan	430	273
	AS	5,156,938	10/20/92	Foley et al.	430	200
	AT	4,985,301	01/15/91	Butters, et al.	430	306
	AU	4,248,959	02/03/81	Jeffers, et al.	430	300
	AV	5,015,553	05/14/91	Grandmont, et al.	430	260
	AW	4,020,762	05/03/77	Peterson		
	AX	5,169,678	12/08/92	Cole, et al.	427	555
	AY	5,039,592	08/13/91	Umeda	430	306
	AZ	4,725,528	02/16/88	Koch, et al.	430	306
	BA	1,736,914 ✓	11/26/29	Huebner	430	300
	BB	2,111,914 ✓	03/22/38	Kohlberger	430	22
	BC	3,265,765 ✓	08/09/66	Holden et al.	260	876
	BD	3,867,153 ✓	02/18/75	MacLachlan	96	79
	BE	4,264,705 ✓	04/28/81	Allen	430	271
	BF	4,265,986 ✓	05/05/81	Allen et al.	430	18
	BG	4,320,188 ✓	03/16/82	Heinz et al.	430	281
	BH	4,323,636 ✓	04/06/82	Chen	430	271
	BI	4,323,636 ✓	04/06/82	Chen et al.	430	271
EXAMINER <i>[Signature]</i>				DATE CONSIDERED 7-29-02		

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## Form PTO-1449 Modified

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Michael Wen-Chen Yang, et al.U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
July 3, 2001Group  
Not Yet Assigned

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	BJ	4,369,246 ✓	01/18/83	Chen et al.	430	306
	BK	4,423,135 ✓	12/27/83	Chen et al.	430	271
	BL	4,427,759 ✓	01/24/84	Gruetzmacher et al.	430	273
	BM	4,460,675 ✓	07/17/84	Gruetzmacher et al.	430	300
	BN	4,622,088 ✓	11/11/86	Min	156	244.11
	BO	5,135,827 ✓	08/04/92	Bohm et al.	430	30
	BP	5,223,375 ✓	06/29/93	Berrier et al.	430	281
	BQ	4,211,834 ✓	07/08/80	Lapadula et al.	430	326
	BR	4,410,562 ✓	10/18/83	Nemoto et al.	427	54.1
	BS	4,429,027 ✓	01/31/84	Chambers, Jr. et al.	430	5
	BT	4,927,740 ✓	05/22/90	Wallbillich et al.	430	309
	BU	5,752,445 ✓	05/19/98	Ruggiero et al.	101	486
	BV	5,846,691 ✓	12/08/98	Cusdin et al.	430	300
	BW	5,439,328 ✓	08/08/95	Haggerty et al.	408	1 R
	BX	5,562,039 ✓	10/08/96	Fox et al.	101	486
	BY	5,925,500 ✓	07/20/99	Yang et al.	430	300
	BZ	4,132,168 ✓	1/2/79	Peterson	101	471
	CA	5,506,086 ✓	4/9/96	Van Zoeren	430	201
	CB	5,495,803 ✓	03/05/86	Gerber, et al.		
	CC	4,785,733 ✓	11/22/88	Hartung et al.	101	181

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Michael Wen-Chen Yang, et al.U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
July 3, 2001Group  
Not Yet Assigned

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	CD	4,864,324	09/1989	Shirota, et al.	346	1.1
	CE	3,964,389	06/22/76	Peterson	101	467
	CF	4,000,334	12/28/76	Hallman et al.	427	56
	CG	4,149,798	04/17/79	McGowan et al.	355	8
	CH	4,212,018	07/08/80	Ohnishi et al.	346	76 L
	CI	4,225,224	09/30/80	Balasubramanian	355	77
	CJ	4,339,472	07/13/82	Tachibana et al.	427	44
	CK	4,384,011	05/17/83	Aoyama et al.	427	54.1
	CL	4,394,661	07/19/83	Peeters	346	1.1
	CM	4,396,284	08/02/83	Fromson et al.	355	100
	CN	4,416,215	11/22/83	Tachibana et al.	118	642
	CO	4,420,363	12/13/83	Tachibana et al.	156	627
	CP	4,422,083	12/20/83	Neumann et al.	346	108
	CQ	4,451,552	05/29/84	Gamson et al.	430	302
	CR	4,461,663	07/24/84	Tachibana et al.	156	86
	CS	4,469,775	09/04/84	Lynch et al.	430	283
	CT	4,515,877	05/07/85	Barzynski et al.	430	5
	CU	4,521,503	06/04/85	Herbert	430	49

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## Form PTO-1449 Modified

List of Patent and Publications  
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U.S. Department of Commerce  
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Group  
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## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	<b>CV</b>	4,549,923	10/29/85	Tachibana et al.	156	423
	<b>CW</b>	4,555,471	11/26/85	Barzynski et al.	430	273
	<b>CX</b>	4,617,085	10/14/86	Cole, Jr. et al.	156	643
	<b>CY</b>	4,620,236	10/28/86	Tanaka et al.	358	293
	<b>CZ</b>	5,041,359	08/20/91	Kooi, Johannes	430	300
	<b>DA</b>	5,139,918	08/18/92	Goel	430	166
	<b>DB</b>	5,152,225	10/06/92	Raganitsch	101	467
	<b>DC</b>	5,171,650	12/15/92	Ellis et al.	430	20
	<b>DD</b>	5,286,594	02/15/94	Sypek et al.	430	138
	<b>DE</b>	5,351,617	10/04/94	Williams et al.	101	467
	<b>DF</b>	5,355,890	10/18/94	Aguirre et al.	128	680
	<b>DG</b>	5,367,359	11/22/94	Takanashi et al.	355	71
	<b>DH</b>	5,387,496	02/07/95	DeBoer	430	322
	<b>DI</b>	5,607,814	03/04/97	Fan et al.	430	258
	<b>DJ</b>	6,020,108 ✓	02/2000	Goffing, et al.	430	306
	<b>DK</b>	5,821,028 ✓	10/1998	Maejima, et al.	430	201
	<b>DL</b>	5,719,009 ✓	02/1998	Fan	430	306
	<b>DM</b>	5,649,486 ✓	07/1997	Lewis	101	453
	<b>DN</b>	5,354,633 ✓	10/11/94	Lewis, et al.	430	5
	<b>DO</b>	5,429,909 ✓	07/04/96	Kaszczuk, et al.	430	273

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**Michael Wen-Chen Yang, et al.**U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
**July 3, 2001**Group  
**Not Yet Assigned**

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	DR	4,728,455	03/01/88	Rerek	252	99
	DQ	4,772,412	09/20/88	Green, et al.	252	96
	DR	4,889,644	12/26/89	Amberg, et al.	252	8.9
	DS	5,002,682	03/26/91	Bragg, et al.	252	99
	DT	5,114,611	05/19/92	Van Kralingen, et al.	252	186.33
	DU	5,266,233	11/30/93	Houghton, et al.	252	174.21
	DV	5,314,636	05/24/94	Rek, et al.	252	139
	DW	5,433,884	07/18/95	Altieri, et al.	252	174.23
	DX	5,441,661	08/15/95	Beaujean, et al.	252	95
	DY	5,456,850	10/10/95	Trabitzsch, et al.	252	97
	DZ	5,501,814	03/26/96	Engelskirchen, et al.	252	174.17
	EA	5,518,645	05/21/96	Beaujean, et al.	252	108
	EB	US 6,238,837 B1	05/29/01	Fan	430	273.1

## FOREIGN PATENT DOCUMENTS

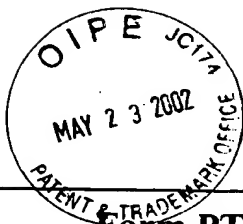
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U.S. Department of Commerce  
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Group  
Not Yet Assigned

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
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<i>duplicate citation considered in report</i>	ED	0436320	07/10/91	EPO		
GH	EE	0 456 336 A2	11/13/91	EP		
GH	EF	0 640 878 A1	03/01/95	EP		
GH	EG	1,366,769	09/11/74	Great Britain		
GH	EH	05-230408 A2	09/07/93	Japan	X (Abstract)	
GH	EI	63-109052	05/13/88	Japan	X (Abstract)	
GH	EJ	58-48055A	03/19/83	Japan	X (Abstract)	
GH	EK	6-250372	09/09/94	Japan	X (Abstract)	
GH	EL	6-11840	01/21/94	Japan	X (Abstract)	
GH	EM	2,281,250	03/01/95	United Kingdom		
GH	EN	41 17 127 A1	11/26/92	Germany	X (Abstract)	
GH	EO	6-222572	08/12/94	Japan	X (abstract)	
GH	EP	0 741 335 A1	12/4/96	EPO		
GH	EQ	WO 92/02859	2/20/92	PCT		
GH	ER	55157737	08/12/80	Japan	X (Abstract)	
GH	ES	07219236	08/18/95	Japan	X (Abstract)	
GH	ET	0 568 841 A1	11/10/92	EP		
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Docket No.  
**POLY-1193**  
(01-8480)Serial No.  
**09/898,152**List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)Applicant  
**Michael Wen-Chen Yang, et al.**U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
**July 3, 2001**Group  
**Not Yet Assigned**

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
<i>duplicate citation already considered in Paper No. 8 + DS</i>	EU	0 503 621 A1 ✓	09/16/92	EP		
	EV	0 776 763 ✓	06/04/97	EP		
	EW	WO 97/25206 ✓	07/17/97			
	EX	WO 98/01792 ✓	01/15/98	PCT		
<i>duplicate citation already considered in Paper No. 4 + DS</i>	EY	WO 94/03838	02/17/94	PCT		
	EZ	WO 94/03839	02/17/94	PCT		
	FA	0 182 332		EPO		
	FB	2 214 934		France		
	FC	30 36 710 A		Germany		
	FD	0065760	05/19/82	EPO		
	FE	0001138	03/21/79	EPO		
<i>duplicate citation already considered in Paper No. 4 + DS</i>	FF	58-052646	03/28/83	Japan		
	FG	3023705	03/04/78	Japan	X(Abstract)	
	FH	61-36750	02/21/86	Japan	X(Abstract)	
	FI	61-24451	02/03/86	Japan	X(Abstract)	
	FJ	DE 4107378 A1	09/10/92	Germany	X(Abstract)	
	FK	DE 3342579 A1	05/30/84	Germany	X(Abstract)	
	FL	DE 3537829 A1	04/30/87	Germany	X(Abstract)	
	FM	WO 90/12342	10/18/90	PCT		
	FN	WO 92/06410	04/16/92	PCT		

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List of Patent and Publications  
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U.S. Department of Commerce  
Patent and Trademark Office

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(01/8480)

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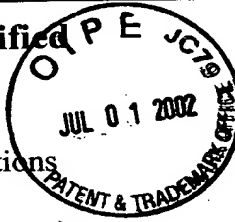
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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
duplicate citation Already considered in Paper No. 4	FO	2,214,934	08/19/74	France	X(Abstract)	
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	GE	0 672 954 A2 ✓	09/20/95	EPO		
	GF	0 687 567 A2 ✓	12/20/95	EPO		
	GG	0 687 570 A1 ✓	12/20/95	EPO		
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*Cynthia Hamilton*

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Applicant  
**Michael Wen-Chen Yang, et al.**

U.S. Department of Commerce  
Patent and Trademark Office

Filing Date  
**July 3, 2001**

Group  
**1752**

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Examiner Initial		Document No.	Date	Name	Class	Subclass
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<i>GH</i>	<b>3</b>	WO 91/06893	05/16/91	PCT		

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